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TRK Fusions are Enriched in Cancers with Uncommon Histologies and the Absence of Canonical Driver Mutations

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Conflicts of Interest

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Abstract

Background: TRK inhibitors achieve marked tumor-agnostic efficacy in TRK fusion-positive cancers and consequently are now an established standard of care. Little is known, however, about the demographics, outcomes, response to alternative standard therapies, or genomic characteristics of TRK fusion-positive cancers.

Methods: Utilizing a center-wide screening program involving more than 26,000 prospectively sequenced patients, genomic and clinical data from all cases with TRK fusions were extracted. An integrated analysis was performed of genomic, therapeutic, and phenomic outcomes.

Results: We identified 76 cases with confirmed TRK fusions (0.28% overall prevalence) involving 48 unique rearrangements and 17 cancer types. The presence of a TRK fusion was associated with depletion of concurrent oncogenic drivers ($p<0.001$) and lower TMB ($p<0.001$), with the exception of colorectal cancer where TRK fusions co-occur with microsatellite instability (MSI-H). Longitudinal profiling in a subset of patients indicated that TRK fusions were present in all sampled timepoints in 82% (14/17) of cases. PFS on first-line therapy, excluding TRK inhibitors, administered for advanced disease was 9.6 months (95% CI: 4.8-13.2). The best ORR achieved with chemotherapy containing-regimens across all lines of therapy was 63% (95% CI: 41-81). Among 12 patients treated with checkpoint inhibitors, an MSI-H colorectal patient had the only observed response.

Conclusion: TRK fusion-positive cancers can respond to alternative standards of care, although efficacy of immunotherapy in the absence of other predictive biomarkers (MSI-H) appears limited. TRK fusions are present in tumors with simple genomes lacking in concurrent drivers that may partially explain the tumor-agnostic efficacy of TRK inhibitors.

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Translational Relevance

The global tumor-agnostic regulatory approval of TRK inhibitors for any pediatric or adult cancer harboring this biomarker establishes TRK fusion-positive cancer as a new diagnostic entity of which relatively little is known. These data provide an initial comprehensive clinicopathologic and genomic overview of TRK fusion-positive cancers. Although the heterogeneity of TRK fusion-positive cancers prevented a formal comparison, we did not find clear evidence that TRK fusion-positive cancers have an unexpectedly favorable prognosis. To further enhance the value of this analysis and facilitate additional outcome analyses, patient-level treatment and genomic data have also been made available to the broader research community.

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Introduction

The *NTRK* genes (*NTRK1/2/3*) encode the family of TRK receptor tyrosine kinases (TrkA/B/C) that play a critical role in neuronal homeostasis during embryonic development[1,2]. Following birth, TRK expression is primarily limited to the nervous system where these kinases are involved in pain sensing, memory, weight homeostasis, and proprioception[3,4]. TRK is the target of recurrent fusion events across a wide variety of pediatric and adult cancers where they behave as classic oncogenic drivers[5–7]. TRK inhibitors have demonstrated dramatic and durable tumor-agnostic efficacy in TRK fusion-positive cancers[8–10]. For the first time in oncology therapy, TRK inhibitors larotrectinib and entrectinib are now FDA-approved for the treatment of any advanced TRK fusion-positive pediatric or adult solid tumor[11].

Despite the significant progress in treating TRK fusion-positive cancers, many important questions remain. The true frequency and distribution of TRK fusions within and across cancer types remains poorly defined. Similarly, the diversity and key features of TRK fusions themselves, as well as their broader genomic context in affected tumors remain uncertain. Perhaps most importantly, the natural history and response to alternative (non-TRK inhibitor) standards of care for TRK fusion-positive cancers is unknown. Answering these critical and unresolved questions has been challenging due to the extreme rarity, broad distribution across cancer types, and technical limitations of detection of TRK fusions.

To address these knowledge gaps, we conducted an integrated analysis of the clinical and genomic features of all TRK fusion-positive cancers detected at our center leveraging a large multi-year effort to prospectively genomically characterize patients[12,13]. To our knowledge, this cohort of TRK fusion-positive cancers represents the largest deeply annotated cohort assembled to date, exceeding the size of the pivotal larotrectinib dataset itself. Here we present the detailed natural history, disease course, and prognosis of patients with TRK-driven cancers with the goal of further informing diagnostic and treatment decisions.

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Methods

Patients and Sequencing

All pediatric and adult patients with TRK fusion-positive cancers identified at Memorial Sloan Kettering (MSK) between April 7, 2015 and August 15, 2018 were included for analysis under an IRB-approved retrospective research protocol. Patients were characterized as harboring TRK fusions on the basis of DNA-based hybrid-capture next-generation sequencing (MSK-IMPACT)[14] or targeted RNA sequencing using anchored multiplex PCR technology (MSK-Fusion)[15]. Three MSK-IMPACT assay versions were used (version 1, 310 genes; version 2, 410 genes; version 3, 468 genes). Beginning with MSK-IMPACT version 3, select tiling of *NTRK1* introns 3, 7, 8, 9, 10, 11, and 12, *NTRK2* intron 15, and *ETV6* introns 4 and 5, a common upstream TRK fusion partner, were added. Thus, MSK-IMPACT version 3 was similarly capable of detecting of select *NTRK1* or *NTRK2* fusions, as well as *NTRK1/2/3* fusions involving upstream partners with intronic tiling (ETV6). Patients were tested by MSK-IMPACT either as part of routine care or via an institution-wide perspective genotyping protocol (ClinicalTrials.gov, NCT01775072) as previously described[13].

The RNA-based MSK-Fusion assay covered *NTRK1* exons 8, 10, 11, 12, 13, and 14, *NTRK2* exons 11-18, and *NTRK3* exons 13-16, which included the critical kinase domain exons. The testing methodology utilized a universal adapter design (ArcherDx, Boulder, Colorado) and permits detection of any upstream fusion partner involving included NTRK exons. Thus, MSK-Fusion was expected to have a high sensitivity for any expressed TRK fusion. MSK-Fusion was performed reflexively in cases where MSK-IMPACT testing identified structural rearrangements of uncertain significance involving *NTRK1/2/3*. Similarly, the test was performed selectively in cases where MSK-IMPACT detected no mitogenic driver, especially in tumor types known to harbor recurrent fusion events (ie: lung, thyroid, and biliary cancers). Finally, MSK-Fusion was utilized for cancers where fusion detection is important for pathologic diagnosis, including select sarcomas.

In cases of secretory carcinoma of the breast or salivary gland, which have near obligate *ETV6-NTRK3* fusions[16], *ETV6* DNA level rearrangement demonstrated by break-apart fluorescence in situ hybridization was also accepted as inferred evidence of a TRK fusion.

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All potential TRK fusions were manually curated to ensure they were in-frame and predicted to result in a fusion transcript. Patients with TRK fusions identified by MSK-IMPACT but negative by MSK-Fusion were considered TRK-negative. In select cases involving novel upstream TRK fusion partners, or where it was challenging to determine the reading frame, pan-TRK immunohistochemistry was performed using previously described methods[17,18].

All cases with qualifying TRK fusions underwent detailed clinical data curation from the electronic medical record. Baseline demographic, pathologic and clinical data from the date of presentation were extracted. All cases underwent pathologic review at MSKCC by expert pathologists based on disease type. All surgical, radiologic and medical therapies for disease were captured including best response, where applicable, as determined by the treating physician. Estimates of TRK fusion positivity by disease were calculated using patients for whom there were either MSK-IMPACT or MSK-Fusion data, and the two TRK positive patients with FISH data only were excluded from this analysis (Supplemental Figure 1). We used the Wilcoxon Rank Sum and Fisher's Exact test to examine the association between TRK fusion with TMB and any oncogenic driver, respectively.

Genomic Analysis

All genomic analyses were performed using the R programming language and environment (https://www.r-project.org), and Circos plots were generated using the RCircos library. Fusion breakpoints were determined using genomic coordinates as determined by MSK-IMPACT, or when those data were unavailable, using the exon breakpoints called by MSK-Fusion. OncoPrints were generated using the cBioPortal (https://www.cbioportal.org/). In patients with multiple sequenced samples, the earliest sequenced sample demonstrating a TRK fusion was utilized for analysis. Tumor mutation burden (TMB) was calculated using the mutations called by MSK-IMPACT using previously published methods[19]. TMB was compared in all patients with MSK-IMPACT to TRK positive patients excluding MSI-high colorectal patients. These patients were excluded as they are known to be enriched…We used the Wilcoxon Rank Sum and Fisher's Exact test to examine the association between TRK fusion with TMB and any oncogenic driver, respectively.

Statistical Analysis

Recurrence-free survival (RFS), progression-free survival (PFS), and overall survival (OS) were estimated using Kaplan-Meier methods. OS was assessed from original diagnosis until death

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from any cause. Patients alive at the time of the data lock (January 23, 2019) were censored at the last date confirmed alive. For RFS, patients treated with curative intent (n = 39) were included. We defined this as patients who were treated with curative intent and non-exploratory surgery for whom date of start of remission was their surgery date, and one patient with curative intent chemotherapy and surgery for whom date of remission was date of first imaging study showing no evidence of disease. Patients were excluded from RFS analysis if not treated with curative intent (n = 17), no documentation (n = 8), or if never in remission (n = 12). Of patients included in RFS analysis (n = 39), recurrence was documented on imaging in 27 patients. RFS was calculated from the start of remission until first recurrence or death from any cause. Patients alive without radiologic or pathologic documentation of recurrence were censored at last follow up. PFS was defined from date of first line therapy for advanced disease (time 0) until radiologic progression (n = 37), changing therapies to start a clinical trial (n = 2), or changing medical therapies for other reasons (n = 4). For PFS, patients who developed advanced disease or with de novo metastatic disease were included (n = 51). Patients were excluded if they had curative first line treatment and remained disease free at time 0 (n = 13), with incurable disease but never treated with systemic therapy for this condition (n = 4), and those with inadequate records (n = 8). PFS was defined from date of first line therapy for advanced disease (time 0) until radiologic progression (n = 37), changing therapies to start a clinical trial (n = 2), or changing medical therapies for other reasons (n = 4). Patients alive and progression-free at the time of data cut were censored at last follow-up. Ninety-five percent confidence intervals (95% CI) around survival estimates were calculated with the log-cumulative hazard transformation.

For patients who received therapy in the setting of active disease, best overall response was recorded when available as indicated by the treating oncologist. We calculated best overall response to any therapy, first line therapy, and by drug classification (chemotherapy, immunotherapy, and TRK targeted therapy) with 95% Clopper-Pearson confidence intervals. Patients who received TRK inhibitors as first line therapy were not included in first-line assessment, and patients who received multiple agents may be counted in both drugs' assessment.

To estimate the frequency of TRK fusions by cancer type, the number of TRK fusion-positive cases in each cancer type over the total number of patients with that tumor type tested for TRK fusions via MSK-IMPACT (v.2 and3) or MSK-Fusion was calculated. Tumor types were

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classified using the OncoTree ontology (http://oncotree.mskcc.org/). Ninety-five percent confidence intervals were calculated by the Clopper-Pearson method . Finally, percent agreement between MSK-IMPACT and MSK-Fusion for patients that had both assays performed (N=46) was calculated. All analyses were performed with SAS 9.4 (The SAS Institute, Cary, NC).

Cell Culture Experiments

The *LMNA-NTRK1* colorectal cancer (CRC) cell line was obtained from Dr. Alberto Bardelli (Candiolo Cancer Institute, FPO, IRCCS, Turin, Italy). The *GON4L-NTRK1,* NRAS Q61R cell line was established from a PDX engrafted with a biopsy of a larotrectinib-naïve melanoma patient. Larotrectinib, LOXO-195, and trametinib were purchased from Selleckchem. RNA was isolated from the *GON4L-NTRK1, NRAS* Q61R melanoma cell line using the QIAGEN RNeasy kit and cDNA synthesis was made using the Bio-Rad cDNA synthesis kit. The amplicon including the breakpoint of the *GON4L-NTRK1* fusion was amplified with the following primers: forward: 5' GCTTCAACCCTGGGAAAACACC 3'; reverse: 5' AAGAGGCAGGCAAAGACG 3'. PCR was performed with a VERITI^{TM} 96 Well Thermal Cycler (Applied Biosystems). Cell-titer glo-based assay was used to evaluate the effect of drug on the proliferation of the *GON4L-NTRK1*, *NRAS* Q61R cell line. Cells were seeded, and the following day larotrectinib, LOXO-195 or trametinib (1:2 dilutions starting with a maximum concentration of 1000nM) was added. Cell-titer glo reagent was added 72 hours later and absorbance was read at 490nm. For Western Blotting, the *GON4L-NTRK1, NRAS* Q61R melanoma cell line was plated, and the day after larotrectinib (50nM), LOXO-195 (50nM) or trametinib (20nM) were added. Twenty-four hours later, lysates were collected. Antibodies used in the assay were the following: pan Trk clone A7H6R (92991S Cell Signaling Technology), phospho p44/42 MAPK (Erk1/2; T202/Y204) clone D13.14.4E (4370S Cell Signaling Technology), phospho MEK1/2 (S217/221) clone 41G9 (9154S Cell Signaling Technology) and vinculin clone E1E9V (13901S Cell Signaling Technology). Protein lysates extracted from the *LMNA-NTRK1* CRC cell line were loaded as control.

Data Availability

Patient level clinical and genomic data are available publically at the CBioPortal (https://cbioportal.mskcc.org/study/summary?id=mixed_ntrk_msk_2019).

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Results

Patient Characteristics

In total, 76 patients had confirmed TRK fusions representing 17 distinct tumor types (**Table 1**). As expected, tumor types known to be enriched for TRK fusions were most frequently represented including salivary (predominantly secretory carcinoma), sarcoma, and thyroid. More common tumor types also known to infrequently harbor TRK fusions including colon, lung, melanoma, pancreas, breast cancer and glioma were also observed. Patients presented with a mixture of early (45%) and late (55%) stage disease. The median age was 52 (range: 1 week - 78 years) (**Supplemental Figure 2**).

TRK fusions were identified from both primary (47%, 36/76) and metastatic (53%, 40/76) samples. TRK fusions were detected through a variety of assays including both DNA and RNA (n=34), DNA alone (N=19), RNA alone (N=21), and FISH alone (N=2). In 26% (12/46) of cases where both DNA and RNA were assayed, DNA testing did not detect TRK fusions ultimately identified by RNA sequencing. All of these discordant cases involved introns of *NTRK2/3* that could not be feasibly included in the MSK-IMPACT design due to their size, representing a known limitation of DNA-based TRK fusion detection in general[18,20]. Conversely, all in-frame TRK fusions detected by DNA testing were confirmed by RNA testing.

TRK fusions

The overall prevalence of TRK fusions during the study period was 0.28% (74/26,312), with the rates varying significantly by cancer type (**Table 2**). The highest frequency of TRK fusions was observed in salivary cancers (5.29%, 95% CI 2.76-9.05%), reflecting the near pathognomonic presence of *ETV6-NTRK3* fusions in mammary analogue secretory carcinomas which accounted for 11 of 12 salivary cases. The remaining *ETV6-NTRK3*-containing salivary tumor underwent pathologic re-examination again confirming the diagnosis of salivary duct carcinoma and excluding secretory carcinoma on the basis of both classic morphology and compatible immunohistochemical profile (negative S100), suggesting that molecular screening of salivary tumors without classic secretory carcinoma features may still be warranted. TRK fusions were next most commonly found in thyroid carcinomas and sarcomas (both soft tissue and uterine). In the remainder of the cohort, point estimates for the frequency of TRK fusions were ≤ 1%. In breast cancer, after excluding secretory histology which harbor near pathognomonic *ETV6-*

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NTRK3 rearrangements[21], only 0.08% of cases (3/3,775) were TRK fusion positive. Also of note, despite sequencing of greater than 1,561 prostate cancer patients during the study period, none were identified as TRK fusion-positive.

With the adoption of genome-driven basket studies and tumor-agnostic therapies[22], one outstanding question is the degree to which the patient population enrolled in pivotal development programs reflected the broader population of patients whose tumors harbor these biomarkers. To evaluate this, the distribution of tumor types observed in patients enrolled in the larotrectinib development program[10] was compared the MSK cohort (**Supplemental Figure 3**). For this analysis, patients with primary central nervous system tumors were excluded from the MSK cohort as they were not included in the comparable larotrectinib dataset. Overall, the distribution of cancer types was broadly similar between the two cohorts with some notable exceptions. Infantile fibrosarcoma, a tumor type with pathognomonic *ETV6-NTRK3* fusions[23,24], was enriched in the larotrectinib cohort. This was likely due to intensification of TRK fusion screening in this rare patient population by the pediatric oncology community due to both high unmet need and the dramatic efficacy of TRK inhibition in this subset[9]. By comparison, colorectal and pancreatic cancers were observed more frequently in the MSK compared to larotrectinib cohorts, potentially reflecting nearly universal use of broad next-generation testing in these patient populations at MSKCC. Finally, four cases of gastrointestinal stromal tumors (GISTs) were observed in the larotrectinib dataset but were not present at all in the MSK cohort. Despite these noteworthy differences, these data could suggest that the study cohort enrolled in the pivotal larotrectinib development program may be broadly reflective of how this agent is used in the post-marketing setting.

Genomic Analysis

The majority of *NTRK1* fusions involved intrachromosomal upstream partners, while only a minority of *NTRK2* fusions and no *NTRK3* fusions were intrachromosomal (**Figure 1a**). Subsequent analysis of intrachromosomal *NTRK1* fusions (**Figure 1b**) suggested that unlike fusions in prostate cancers like TMPRSS2-ERG[25], these events were not the result of an interstitial deletion. In total, 48 unique fusion events were observed (**Figure 1c, Supplemental Table 1**). Overall, *TERT* promoter, *TP53*, and *NOTCH1/2* gene mutations were the most common co-alterations in this cohort (**Supplemental Table 2**). Consistent across all fusions was inclusion of the full-length kinase domain of *NTRK*1/2/3, suggesting that this feature should be an important part of the evaluation of any potential novel TRK fusion. The most common upstream

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fusion partner was *ETV6* followed by *EML4*, both of which were observed exclusively in the context of *NTRK3*. In comparison to *NTRK3*, *NTRK1/2* did not have any single preferred upstream fusion partner.

The co-mutational patterns were compared in the TRK fusion-positive cases in which broader profiling was available (n=65) and TRK fusion-negative cases prospectively sequenced during the same time period (n=25,989) . Whereas 31.4% of TRK fusion-negative cases harbored activating alterations in select canonical MAPK pathway oncogenes, a similar pattern of co-alteration was only observed in 1.5% (1 patient) of TRK fusion-positive cases (**Figure 1d**). Interestingly, this melanoma patient with concurrent *GON4L-NTRK1* and *NRAS* Q61R alterations was one of only six patients in the pivotal larotrectinib dataset to experience progressive disease as their best response[26]. To investigate this case further, we confirmed with RT-PCR the presence of the GON4L-NTRK1 fusion initially detected in DNA (**Supplemental Figure 4a/b**). However, pan-TRK immunohistochemical staining was negative, suggesting this transcript was not expressed at the protein level (**Supplemental Figure 4c**). Moreover, a cell-line derived from this patient's tumor was insensitive to larotrectinib or the next-generation TRK inhibitor LOXO-195, but responsive to trametinib (**Supplemental Figure 4d**). Consistent with this, Western blots confirmed absence of TRK expression as well as reduction of pERK with trametinib. (**Supplemental Figure 4e**). Collectively these data suggest that TRK fusions only infrequently co-occur with other canonical alterations in therapy-naive patients, but in the rare cases where they do these tumors may not exhibit oncogenic dependence on the TRK fusion.

To further interrogate the genomic context in which TRK fusions arise, the TMB of TRK fusion-positive and negative cases were compared. The acquisition of kinase fusions including TRK has been previously associated with the presence of MSI in colorectal cancers[27,28]. Indeed, of cases where MSI testing was available, 86% (6/7) of TRK fusion-positive colorectal cancers were microsatellite high (MSI-H). By comparison, no other TRK fusion-positive cancer was MSI-H. Excluding these MSI-H colorectal cancers, median TMB was lower in the TRK fusion-positive versus negative cases (1.8 vs 3.5, $p < 0.001$) (**Figure 1e**). This association held even when the comparison between mutation count was restricted to alterations classified as either oncogenic or occurring at previously established hotspots (median 1 vs. 2, $p<0.001$).

As the persistence of TRK fusions over time is unknown, we examined the molecular results from 17 patients in whom more than one tumor sample was evaluated. In 82% (14/17) of cases the TRK fusion was detected in all sequenced time points. Two of the discordant cases were patients with breast cancer in whom the TRK fusions were identified in metastatic but not

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primary samples (**Supplemental Figure 5a/b**). The third discordant case was a patient with glioblastoma with a *TPM3-NTRK1* fusion identified from his primary surgical debulking procedure obtained prior to treatment with radiation or temozolomide therapy. Upon subsequent progression following upfront combined modality therapy, he was treated with a brain-penetrant TRK inhibitor with progression as best response at 12 weeks. Repeat operative resection and profiling at the time progression demonstrated loss of the TRK fusion and acquisition of a new focal *EGFR* amplification (19.6 fold), potentially consistent with the outgrowth of a new clone under selective therapy of TRK inhibition (**Supplemental Figure 5c**).

Treatment Outcomes

Of the 76 patients with TRK fusion-positive cancers, 67% (51/76) developed advanced or recurrent disease during the study period and all these patients received systemic therapy (median prior lines of systemic therapy = 3). Classes of therapy received for advanced disease included chemotherapy (n=35, 69%), immunotherapy (n=12, 24%), and TRK inhibitors (n=38, 75%) (**Supplemental Figure 6, Table 3**). The overall response rate for first-line therapy, across all classes of therapy excluding TRK inhibitors, was 46.7% (95% CI: 21.3 - 73.4) (**Table 3**). Broadening to best response across all lines of therapy received for advanced disease, the overall response rate with chemotherapy containing-regimens was 62.5% (95% CI : 40.6 - 81.2) (**Supplemental Table 3**). In total 12 patients received immunotherapy, including two MSI-H colorectal cancers, and best overall response was known in nine of these cases (**Supplemental Table 4**). Only one patient with MSI-H colorectal cancer achieved a complete response lasting 3.5 years which was ongoing at the time of data cut. Consistent with expectations, the overall response rate with TRK inhibitor therapy was 64.7% (95% CI: 46.5-80.3).

To better understand outcomes of TRK fusion-positive cancers as a broader diagnostic category, recurrence-free survival (RFS), progression-free survival (PFS), and overall survival (OS) was analyzed (**Supplemental Table 5**). Follow up time in survivors was 3.1 years (range: 0.1-22.5). In total, 39 patients presented with limited stage disease initially managed with curative intent. Among these patients, the median RFS was 3.5 years (95% CI: 2.4-4.9) (**Figure 2a**). Similarly, among the 51 patients who developed recurrent or advanced disease, median PFS on first-line therapy was 9.1 months (95% CI: 4.8-13.1) (**Figure 2b**). Across all 76 patients, the median overall survival from time of initial diagnosis was 19.8 years (95% CI: 9.1 - NR) (**Figure 2c**). The timing of events from diagnosis, acquisition of tumor material used for molecular profiling, completion of TRK testing, development of advanced disease, initiation of

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TRK inhibitor therapy, and death or last follow-up is shown in **Figure 3**. Overall, the median duration of time from initial diagnosis to acquisition of tumor material used for TRK testing was 3.1 months (range:0.3-256.7) and to TRK sequencing 2 years (range 0.0-21.6) (**Table 1**).

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Discussion

Here we leveraged a multi-year prospective institution-wide prospective tumor sequencing effort to define clinicopathologic and genomic features of TRK fusion-positive cancers. We found that the distribution of cancers was enriched for uncommon histologies with higher rates of TRK-fusion positivity in a pattern that was broadly similar to enrollment into the studies demonstrating efficacy of TRK inhibitors. These findings provide additional evidence that pivotal datasets used to support tumor-agnostic approval of TRK inhibitors may closely reflect use of these agents post-approval. Although the heterogeneity of TRK fusion-positive cancers prevented a formal comparison, we also did not find clear evidence that TRK fusion-positive cancers have an unexpectedly favorable prognosis. To further enhance the value of this analysis and facilitate additional outcome analyses, we have made patient-level treatment and genomic data available to the broader research community.

An integrated genomic analysis of this TRK fusion-positive cohort also identified several important findings. Specifically, we found that the presence of a TRK fusion is associated with the absence of alternative oncogenic drivers. Similarly, we found that TRK fusions persisted over time in nearly all patients with repeat molecular testing. Collectively these data imply that the majority of TRK fusions are both clonal and rarely passenger alterations, biological features that may be at least partially responsible for the dramatic and pan-cancer efficacy of TRK inhibitors. In most tumor types, TRK fusion-positive cancers had lower overall tumor mutation burden, excluding MSI-H colorectal cancers, which we did not include in our analyses, as they are enriched for these alterations. Finally, we identified a number of novel upstream fusion partners, corresponding to tumor types in which these housekeeper genes are typically expressed. This again demonstrates the diagnostic challenges in TRK fusion detection[18,20,29,30], and suggest that even RNA-based methodologies that require pre-specification of the upstream fusion partner are likely to miss a proportion of events.

This work has several limitations. First, the heterogeneity of cancer types harboring TRK fusions precluded formal comparison to a control group. As such, this analysis does not permit direct determination of the prognostic implications of TRK fusions or how they may otherwise modify response to standard therapies. Similarly, the underlying heterogeneity of tumor types make interpretation of summary clinical outcome measures such as relapse-free and progression-free survival challenging to interpret. Despite this, TRK fusion-positive cancer increasingly

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represents a distinct and complementary diagnostic classification. As such, both clinicians and global regulatory agencies have repeatedly sought these data. Underlying tumor type heterogeneity also makes interpretation of certain genomic analyses challenging. For example, both mutational burden as well as the alteration frequency of commonly mutated genes such as *TP53* are closely associated with cancer type. Therefore any comparison of these factors between TRK fusion-positive and negative cohorts is likely to be at least partially confounded by imbalance in the underlying tumor types represented in each group.

Moreover, as with any real-world analysis of a biomarker-defined patient population, TRK fusions were ascertained based on tissue and testing obtained at a variety of different time points relative to each patient's original diagnosis, introducing potential bias in survival estimates. Additionally, because the majority of patients (68%) received a TRK inhibitor at some point during the course of their treatment, overall survival measured here likely already reflects the impact of this therapeutic advance. Intriguingly, we also observed a low response rate to immunotherapy. While this finding is noteworthy, we caution that only a small number of TRK fusion-positive patients treated with this therapeutic modality (n=12), especially in cancer types with established sensitivity to checkpoint blockade. Thus, we caution that additional real-world evidence as to the role of immunotherapy in TRK fusion-positive cancers is needed to truly inform patient management. Finally, while our cohort is to our knowledge the most comprehensive of its kind and larger than the pivotal larotrectinib dataset, the study size remains more limited in comparison to more frequent biomarkers. Larger multi-institution efforts[31] will be eventually needed to more fully address these issues.

The global tumor-agnostic regulatory approval of TRK inhibitors for any tumor type harboring this genomic alteration establishes TRK fusion-positive cancer as a new diagnostic entity of which relatively little is known. Through this analysis and patient-level data included, we have begun to define this new entity including insights into the distribution and frequency across cancer types, genomic features, and outcome to existing therapy.

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Figure and Table Legends

Figure 1. Genomic Characteristics of TRK Fusion-Positive Cancers. a-b. Circos plots graphically depicting TRKs and their fusion partners, showing intrachromosomal preference for fusion partner for *NTRK1*, but no such preference for *NTRK2* or *NTRK3*. **c.** Schematic of all TRK fusion proteins identified by either MSK-IMPACT or MSK-Fusion, at scale with exons represented by individual boxes. The upstream partner is colored blue, with TRK kinase domain colored green and other TRK exons colored grey. **d.** Oncoprint of TRK positive versus TRK negative tumors showing rarity of co-occurrence of TRK fusion with other canonical oncogenes. e. Median TMB of TRK fusion negative tumors, TRK fusion tumors excluding MSI-H, and MSI-H TRK fusions. TMB of TRK positive tumors was significantly less (Wilcoxon Rank Sum, $p < 0.001$) than TRK fusion negative tumors, with the exception of MSI-H colorectal cancers.

Figure 2 Recurrence-Free (a), Progression-Free (b), and Overall Survival Kaplan-Meier Curves (c).

Figure 3. Patient Event Plot. Swimmer plot showing timeline of events for all patients including diagnosis with TRK fusion, time prior to and after the development of advanced disease, time of initiation of TRK inhibitor therapy, and death or last follow up.

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Table 1. Patient Demographics. Table showing patient demographics including age, gender, cancer type, stage, treatment received, and timing of detection of TRK fusion.

Factor*	**N (%)**
Age, Median (Range)	52 (0-78)
Gender	
Female	47 (61.8)
Male	29 (38.2)
Cancer Type	
Salivary	12 (15.8)
Thyroid	10 (13.2)
Sarcoma NOS	9 (11.8)
Colon	8 (10.5)
Lung	6 (7.9)
Melanoma	5 (6.6)
Glioblastoma Multiforme	4 (5.3)
Pancreatic Cancer	4 (5.3)
Other	18 (23.7)
Stage at Diagnosis (n=58)	
Localized, I-III	34 (58.6%)
Metastatic, IV	24 (41.4%)
Prior Therapy	
Surgery (n=74)	65 (87.8)
Radiation (n=70)	33 (47.1)
Systemic (n=76)	57 (75)
Class of Systemic Therapy (n=57)	
Chemotherapy	39 (68.4)
Immunotherapy	12 (21.1)
TRK Targeted Therapy	39 (68.4)
Intervals, years, median (range)	
Diagnosis and TRK Tissue (N=72)	0.2 (0.0-21.4)
Diagnosis and NTRK Sequencing (N=75)	2.0 (0.0-21.6)
TRK Tissue and Sequencing (N=72)	0.3 (0.0-13.0)

*N=76 for all factors unless otherwise noted

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Table 2. Prevalence of TRK Fusions Overall and by Cancer Type.

Histology	Percent	95% CI	Fraction
Overall	0.28%	[0.22% - 0.35%]	74/26312
Salivary Carcinoma	5.29%	[2.76% - 9.05%]	12/227
Thyroid Cancer	2.22%	[1.07% - 4.04%]	10/451
Sarcoma NOS	1.17%	[0.54% - 2.21%]	9/770
Uterine Sarcoma	1.15%	[0.14% - 4.09%]	2/174
Glioblastoma Multiforme	0.62%	[0.17% - 1.59%]	4/641
Appendiceal Adenocarcinoma	0.57%	[0.01% - 3.12%]	1/176
Melanoma	0.54%	[0.17% - 1.25%]	5/932
Biliary Tract Cancer	0.36%	[0.04% - 1.30%]	2/553
Unknown Primary	0.31%	[0.01% - 1.74%]	1/318
Colon Cancer	0.35%	[0.15% - 0.68%]	8/2306
Pancreatic Cancer	0.30%	[0.08% - 0.78%]	4/1315
Lung Adenocarcinoma	0.16%	[0.06% - 0.36%]	6/3658
Invasive Breast Carcinoma*	0.08%	[0.02% - 0.23%]	3/3775

*Excludes Secretory Breast Cancer

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Table 3. Systemic Treatment Outcomes in Advanced and Recurrent Disease.

	Type of Therapy			
	1st-line*	Chemo	Immuno	Targeted (TRK)
ORR, % (Responders/Total)	46.70% [7/15]	62.50% [15/24]	11.10% [1/9]	67.60% [23/34]
ORR 95% CI	(21.3-73.4%)	(40.6-81.2%)	(0.3-48.2%)	(49.5-82.6%)
BOR, N (%)	n=44	n=35	n=12	n=38
CR	1 (2.3%)	4 (11.4%)	1 (8.3%)	6 (15.8%)
PR	6 (13.6%)	11 (31.4%)	0 (0%)	17 (44.7%)
SD	2 (4.5%)	4 (11.4%)	3 (25.0%)	9 (23.7%)
PD	6 (13.6%)	5 (14.3%)	5 (41.7%)	2 (5.3%)
Unknown	29 (65.9%)	11 (31.4%)	3 (25.0%)	4(10.5%)

*Any class, excluding TRK inhibitors

ORR, overall response rate; BOR, best overall response; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease

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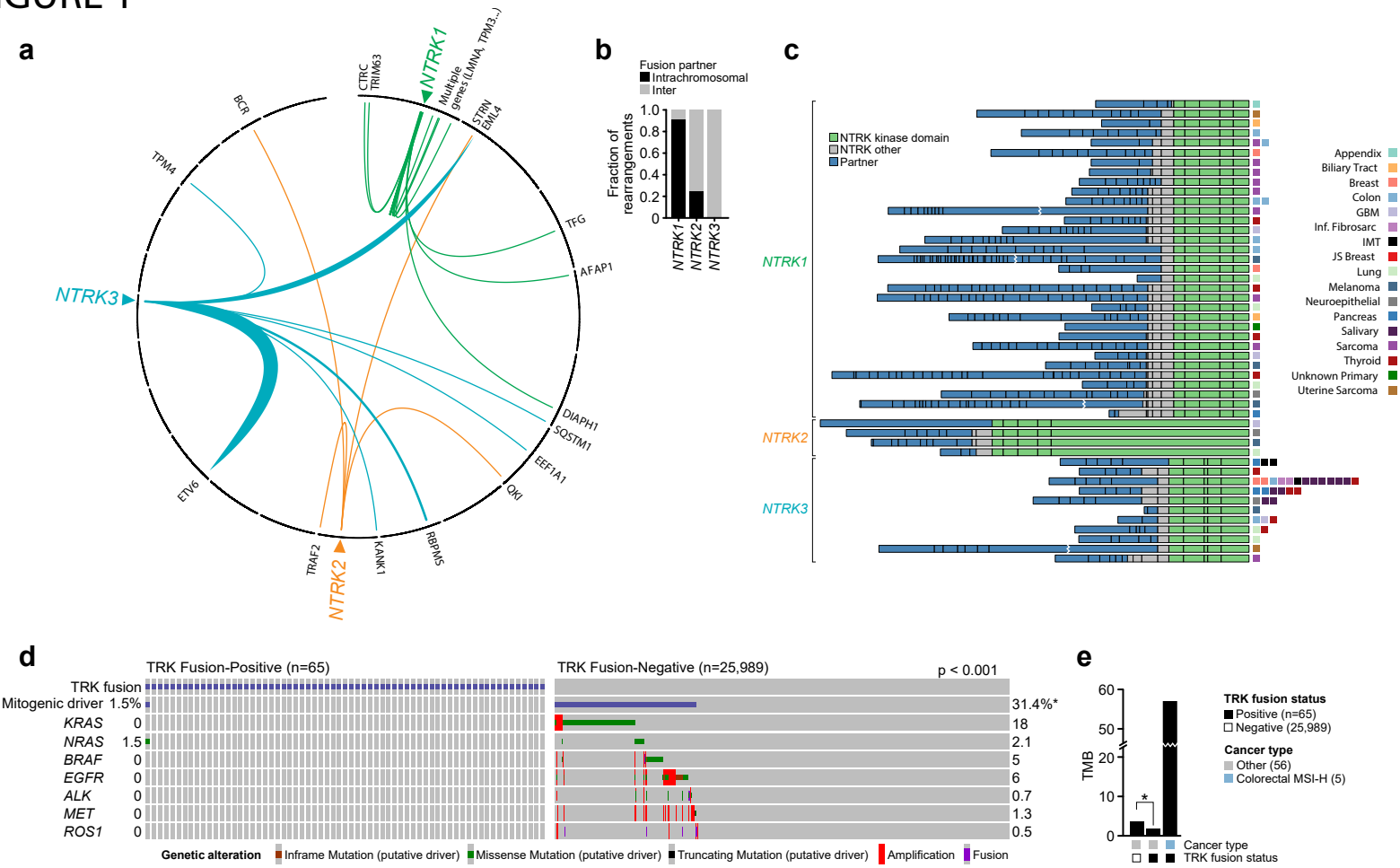
FIGURE 1



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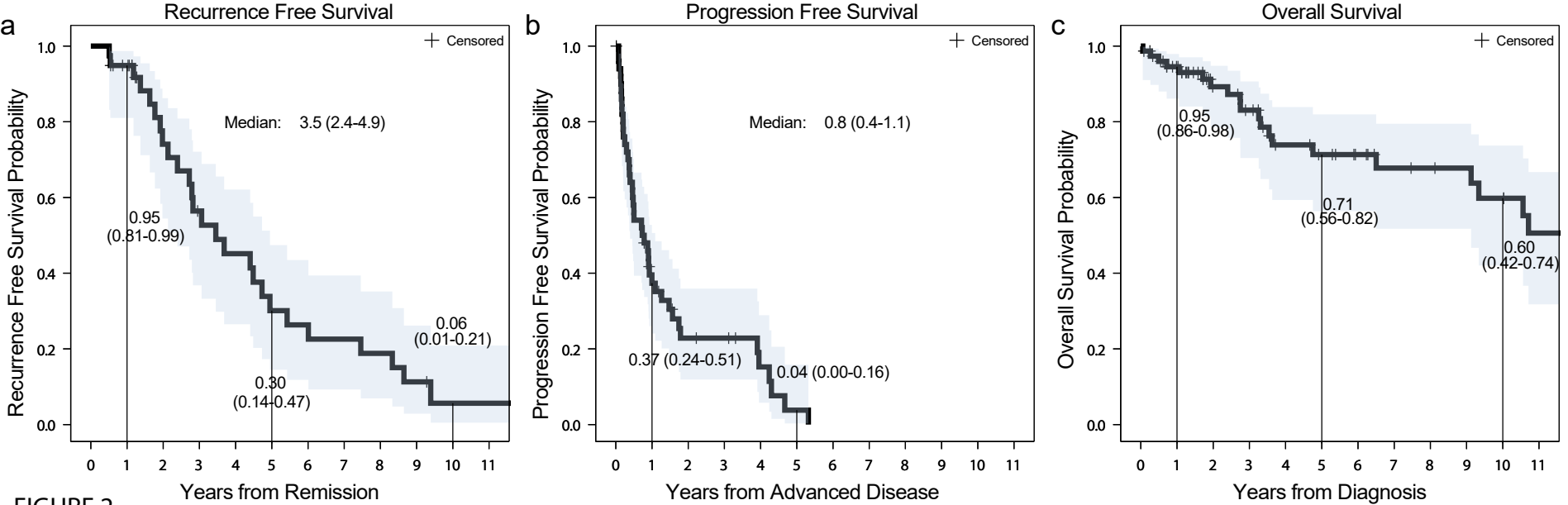

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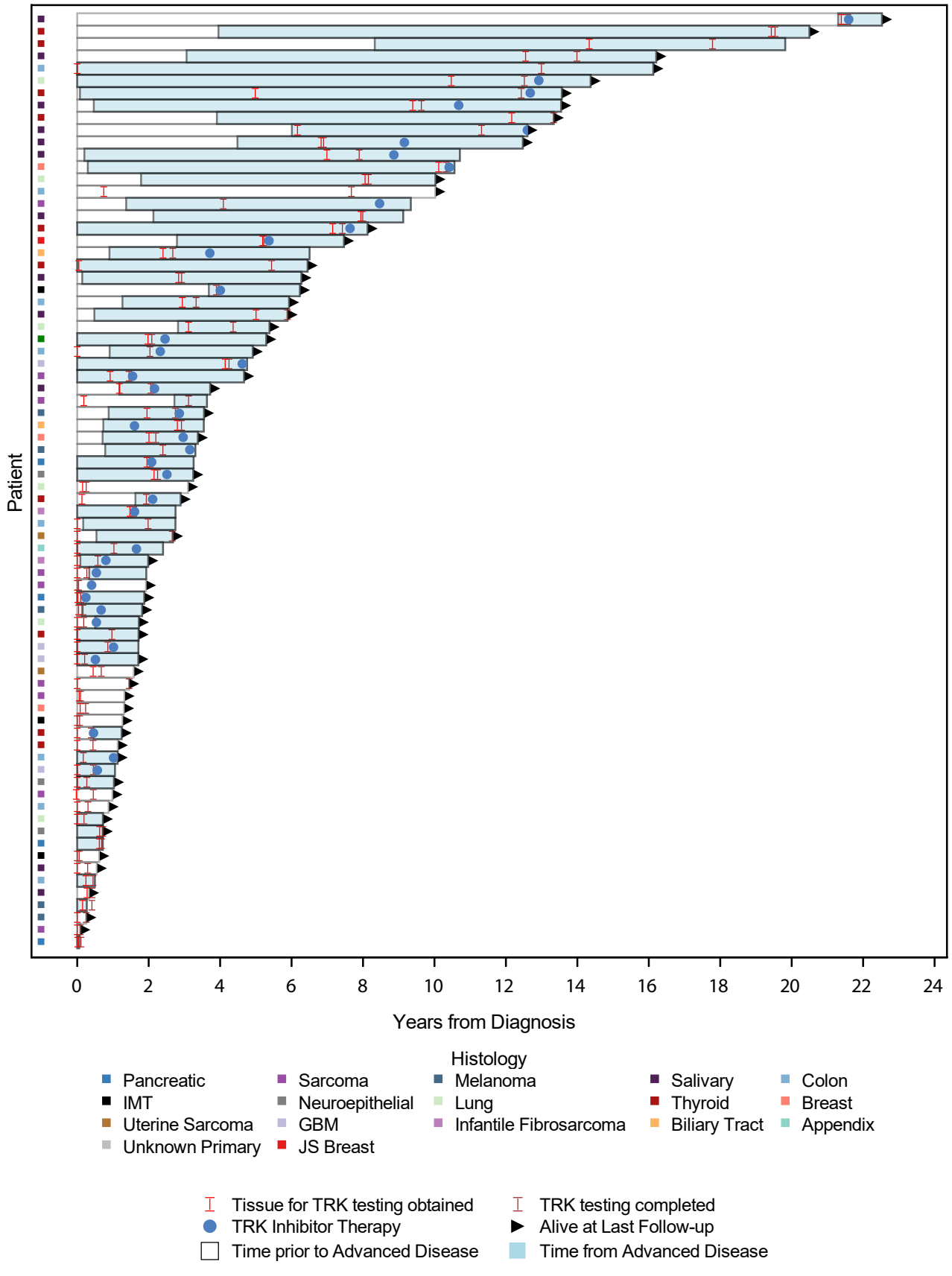

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TRK Fusions are Enriched in Cancers with Uncommon Histologies and the Absence of Canonical Driver Mutations

Ezra Y Rosen, Debra A Goldman, Jaclyn F Hechtman, et al.

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